
Appendix BB

NPDES CAFO Permit NMP Nine Minimum Standards Review Checklist

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NPDES CAFO Permit NMP Nine Minimum Standards Review Checklist

The checklist is composed of three parts which are to be completed as follows:

Part A **Summary Information**

Documents critical information concerning the operation.

Part B **Detailed Plan Review and On-Site Inspection Checklist**

To be completed when reviewing a plan or during an on-site inspection of the operation.

Part A - Summary Information

1. Plan Preparer Certification

• Was the plan prepared by a certified nutrient management specialist? ☐ Yes ☐ No

• Is the name and certification credentials of the plan preparer identified in the plan? ☐ Yes ☐ No

2. Type of Operation

• Is the facility operated ☐ Year Round ☐ Seasonally

Notes: _____

• Is the operation ☐ Open lot ☐ Partially enclosed ☐ Fully enclosed.

Notes: _____

• Does the description of the facility in the plan reflect the description of the facility in the application/NOI/Fact Sheet/Permit? ☐ Yes ☐ No

3. Facility Maps

• Does the plan include maps that identify topography, soil types, confinement areas, manure and wastewater storage, raw material storage, handling, and treatment facilities, and environmentally sensitive areas (sinkholes, wells, drinking water sources, field tile drain outlets) for the production area and all land application areas owned or under the ownership, rental, lease, other legal arrangement of the CAFO operator? ☐ Yes ☐ No ☒

• Does the plan identify the watershed(s) in which the operation is located including latitude and longitude to the entrance of the production area? ☐ Yes ☐ No

• Is this watershed listed on the States list of impaired watersheds? ☐ Yes ☐ No

If yes, what impairments are identified? _____

• Is this facility located in a state designated source water protection area? ☐ Yes ☐ No

• Are there any other water quality problems in this watershed? ☐ Yes ☐ No

Explain: _____

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4. Animals

• What type of animals are confined at the facility?

☐ Beef (slaughter/feeder)

☐ Dairy

☐ Swine

☐ Turkey

☐ Other _____

☐ Chicken – Layer

☐ Chicken – Broiler

☐ Sheep/lambs

☐ Horse

☐ Duck

• What is the design capacity by animal type?

☐ Beef (slaughter/feeder) _____

☐ Dairy _____

☐ Swine _____

☐ Turkey _____

☐ Other _____

☐ Chicken – Layer _____

☐ Chicken – Broiler _____

☐ Sheep/lambs _____

☐ Horse _____

☐ Duck _____

• Is the plan based upon the design capacity? ☐ Yes ☐ No

If no, what capacity is the plan based upon? _____

• Does the plan identify the size (acres) of the production area? ☐ Yes _____ acres ☐ No

5. Manure/Litter/Process Wastewater Generation

• What are the manure generation rates for N, P, and K identified in the plan?

Animal Type 1 N _____ lbs/Year ☒ P _____ lbs/Year ☒ K _____ lbs/Year

Animal Type 2 N _____ lbs/Year ☒ P _____ lbs/Year ☒ K _____ lbs/Year

Animal Type 3 N _____ lbs/Year ☒ P _____ lbs/Year ☒ K _____ lbs/Year

• What are the manure generation rates for N, P, and K rates based upon?

☐ Current year manure analysis from this operation

☐ Historical manure analysis from this operation [Note Year(s): _____]

☐ Book value [Note Source: _____]

• Are the generation rates for N, P, and K generally consistent with USDA's Agricultural Waste Management Field Handbook? ☐ Yes ☐ No

If no, are other practices in place that account for the rates included in the plan? ☐ Yes ☐ No

What are the practices identified in the plan? ☐ Feed Management ☐ Other

Explain: _____

If no, are atmospheric N losses used in the plan excessive? ☐ Yes ☐ No

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8. Nutrient Application

• Does the plan identify the basis/rationale for determining an N-based or P-based application rate?

Yes

No

What is the basis?

State Regulations/Nutrient Management Technical Standard

NRCS Code 590

Other

• Does the plan identify the application method?

Yes

No

If yes, what method is used:

Surface applied

Injected

Incorporated

• Does the NMP reference the correct State Nutrient Management Technical Standard identified in the permit?

Yes

No

• Does the plan include land application areas that are N-based and others that are P-based?

Yes

No

9. 25-Year, 24-Hour Storm Information*

• Does the plan utilize the correct 25-Year, 24-Hour rainfall amount for the location of this operation to determine storage requirements?*

Yes

No

Note source of information

Additional Comments:

* Some facilities are required to design storage impoundments based on a 100-year, 24-hour storm.

Part B - Detailed Plan Review and On-Site Inspection Checklist.

Minimum Standard #1	Ensure Adequate Storage Capacity
Plan Review	
• Does the plan identify the volume and duration of storage required for the facility? <div><div></div><div>Yes</div><div></div><div>No</div></div>	
• Does the storage volume in the plan account for manure and process wastewater in addition to the collection of runoff and the 25-year/24-hour storm event for the CAFO location? (Note: New source swine, poultry, and veal operations use a 100-year/24-hour storm) <div><div></div><div>Yes</div><div></div><div>No</div></div>	
• Are storage structures constructed and operated in accordance with the ELG? <div><div></div><div>Yes</div><div></div><div>No</div></div>	
• Does the plan include a schedule for cleaning out the storage structures or solids removal for liquid storage structures? <div><div></div><div>Yes</div><div></div><div>No</div></div>	
• Does the plan require maintenance for all storage structures? <div><div></div><div>Yes</div><div></div><div>No</div></div>	
On-Site Inspection	
• Is a depth marker in place in all lagoons and other appropriate storage structures? <div><div></div><div>Yes</div><div></div><div>No</div></div>	
• Is adequate lagoon storage volume being maintained? <div><div></div><div>Yes</div><div></div><div>No</div></div>	
• Is the length of storage documented in on-site records consistent with storage practices identified in the plan? <div><div></div><div>Yes</div><div></div><div>No</div></div>	

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Minimum Standard #2 Ensure Proper Management of Mortalities	
Plan Review	
• Is animal mortality addressed in the plan. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No X	
If yes, what methods are identified in the plan to address animal mortality?	
<input type="checkbox"/> Rendering <input type="checkbox"/> Incineration <input type="checkbox"/> Composting <input type="checkbox"/> Disposal pits	
<input type="checkbox"/> Landfill <input type="checkbox"/> Other _____	
• Does the plan address mortality storage prior to final disposition? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Is the mortality rate used in the plan consistent with USDA expected values for the animals confined at the operation? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Does the animal mortality plan meet State requirements? <input type="checkbox"/> N/A <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No X	
On-Site Inspection	
• Are the animal mortality disposal methods and equipment identified in the plan in place and being properly implemented? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No X	
Minimum Standard #3 Divert Clean Water From Production Area	
Plan Review	
• Does the plan include provisions that address the diversion of clean water from the production areas? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If no why? _____	

If no, is the runoff being collected and is storage of runoff adequate?	
(See Minimum Standard No. 8) <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Does the plan require periodic visual inspection to verify proper and functional diversion? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Does the plan address the maintenance of diversion structures? <input type="checkbox"/> Yes <input type="checkbox"/> No	
On-Site Inspection	
• Are the diversion provisions identified in the plan being properly implemented? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Is the storage capacity sufficient for all non-diverted runoff? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Are records of periodic inspections being maintained? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• How often are operator inspections being conducted? (<i>Circle one:</i> Daily Weekly Monthly)	
Minimum Standard #4 - Prevent Direct Contact	
Plan Review	
• Does the facility or topo map identify any surface water in the production area? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, are measures in the plan to prevent direct contact? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No X	
What are the measures identified in the plan? <input type="checkbox"/> Fences <input type="checkbox"/> Other	
On-Site Inspection	
• Is there surface water in the production area? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Are the measures identified in the plan being implemented and maintained to prevent direct contact? <input type="checkbox"/> Yes <input type="checkbox"/> No X	
• Are there any animals in contact with surface water in the production area? <input type="checkbox"/> Yes <input type="checkbox"/> No	

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Minimum Standard #5 Chemical Handling	
Plan Review	
• Has the facility incorporated measures (in accordance with applicable laws and regulations) to prevent the mishandling of pesticides, hazardous and toxic chemicals, and petroleum products/by-products from contaminating manure and wastewater? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If no, explain: _____	
On-Site Inspection	
• Are the measures identified being implemented? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Is there any evidence of mishandling of pesticides, hazardous and toxic chemicals, and petroleum products/by-products contaminating manure and wastewater storage. <input type="checkbox"/> Yes <input type="checkbox"/> No	
Notes: _____	

Minimum Standard #6 Conservation Practices to Reduce Nutrient Loss	
Plan Review	
• Does the plan include the use of best management practices (BMPs) to control runoff from the:	
Production area <input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	
Land application area(s) <input type="checkbox"/> N/A <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Do the plan and facility maps identify the specific areas that the BMPs are to be applied?	
Land Application Areas	Production Area
<input type="checkbox"/> Vegetated Buffers (Type of vegetation _____)	<input type="checkbox"/> (Type of vegetation _____)
<input type="checkbox"/> Diversion	<input type="checkbox"/>
<input type="checkbox"/> Grassed Waterway (Type of vegetation _____)	
<input type="checkbox"/> Strip Cropping	
<input type="checkbox"/> Residue Management	
<input type="checkbox"/> Terracing	
<input type="checkbox"/> Conservation Tillage	
• If any of these BMPs are being used does the plan specify how they are to be implemented? <input type="checkbox"/> Yes <input type="checkbox"/> No	
If yes, what does the plan require? _____	

• What references are cited for the practices? <input type="checkbox"/> USDA Practice Standards <input type="checkbox"/> State Standards	
<input type="checkbox"/> Other _____ (Note: to be used to verify proper implementation)	
• Does the plan include O&M requirements for practices used to reduce nutrient loss? <input type="checkbox"/> Yes <input type="checkbox"/> No	
On-Site Inspection	
• Are the nutrient loss minimization practices in the plan being properly implemented? <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
• If buffers are being used, are the widths in agreement with those identified in the plan? <input type="checkbox"/> Yes <input type="checkbox"/> No	
• Is there any evidence of buffers being breached by waste or evidence of erosion? <input type="checkbox"/> Yes <input type="checkbox"/> No	

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Minimum Standard #9 - Recordkeeping

Plan Review

Identify the required records that the plan identifies are to be maintained at the facility.

☐ Manure and wastewater sample nutrient analysis results

☐ Soil sample analysis results that the plan was based upon for all land application areas (Dates of sample: ____/____/____, ____/____/____, ____/____/____, ____/____/____, ____/____/____)

☐ Manure/wastewater storage - date of emptying, level before emptying, and level after emptying, or quantity removed (dry manure)

☐ Storage facility level (weekly)

☐ Inspection log (stormwater diversions, runoff control structures, water lines, surface impoundments, and manure application equipment)

☐ Maintenance log of all equipment necessary to control discharge and meet permit requirements (e.g., maintenance of land application equipment)

☐ Crop planting/harvest dates by field or CMU

☐ Crop type and yield by field or CMU - bushels/acre (seasonally)

☐ Total amount of N and P applied - date, time, and rate (lbs/acre, gallons/acre), weather condition, application method, and equipment used by field or CMU (daily)

☐ On-site precipitation

☐ Animal Inventory

☐ Lease/Rental/Access Agreements for all land not owned by the operator

☐ Name and address of recipients and quantity of manure transferred off-site

Does the plan require any additional records be maintained at the facility? ☐ Yes ☐ No

If yes, what are these records? _____

Does the plan include an emergency action plan to address spills and catastrophic events? ☐ Yes ☐ No

On-Site Inspection

Are all of the records identified in the plan being maintained and kept current? ☐ Yes ☐ No ☒

If no, explain: _____

Are records being maintained at the required frequency? ☐ Yes ☐ No

If no, explain: _____

Are records being maintained on-site for the period required by the permit? ☐ Yes ☐ No

If no, explain: _____

Do the records include the date, time and estimated volume of any overflows? ☐ Yes ☐ No

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Plan Adequacy/Discharge Potential
<ul style="list-style-type: none">• Is the plan adequately addressing the storage, handling, and application of manure and wastewater to prevent the discharge of pollutants to waters of the US? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No X• Is there evidence of a past discharge? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, what evidence was identified? _____ _____• Is there any evidence of discharges to waters of the US from other activities at the operation? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, what evidence was identified? _____ _____• Is there a risk of a future violation of permit conditions? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, what is the basis for this determination? _____ _____• Does the plan require revision? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, what specific components of the plan require revision? _____ _____
Additional Comments: _____ _____ _____ _____ _____ _____ _____ _____ _____

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